

 <div style="text-align: center;"> Financial Assistance Award DENALI COMMISSION 510 L Street, Suite 410 Anchorage, Alaska 99501 (907) 271-1414 (phone) (907) 271-1415 (fax) www.denali.gov </div>		Award Number	01486-00		
		Award Title	Environmentally Threatened Community Initiative		
		Performance Period	December 1, 2015 through June 30, 2018		
Authority 112 Stat 1854	CFDA Number 90.100	<u>Recipient Organization & Address</u> UNIVERSITY OF ALASKA FAIRBANKS PO BOX 757880 Alaska Center for Energy and Power Phone: 907-474-6264 Recipient DUNS # 615245164 TIN # 926000147			
Denali Commission Finance Officer Certification					
<u>Cost Share Distribution Table</u>					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000	\$50,000.00		\$0.00		\$50,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
Total	\$50,000.00	\$0.00	\$0.00	\$0.00	\$50,000.00
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
Signature of Authorized Official - Denali Commission Electronically Signed		Typed Name and Title Mr. Joel Neimeyer Federal Co-Chair		Date 04/20/2016	

AWARD ATTACHMENTS

UNIVERSITY OF ALASKA FAIRBANKS

01486-00

1. FAA 01486
2. Attachment A

**Financial Assistance Award Terms and Conditions
Between the Denali Commission and UAF Institute of Northern Engineering
For Support on Environmentally Threatened Community Initiative
Award No. 01486**

1. Project Summary

- a. Scope of Work: Provide technical expertise as requested on specific tasks in support of the Environmentally Threatened Community Initiative.
- b. Deliverables: Research, attendance at meetings, and reports.
- c. Budget: The Commission is making \$50,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct, indirect, and pre-award costs (if any) authorized pursuant to 2 CFR 200.458. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission.
- d. Delivery Method: The Institute of Northern Engineering (INE) will use in-house resources at INE and/or staff at other University of Alaska Centers, Institutes and Departments to complete the tasks assigned under this FAA. INE agrees to cooperate and collaborate with the Denali Commission during the project, and understands that the Commission will be substantially involved in implementing the project or components of the project.
- e. Performance Period The Period of Performance for this FAA is 1 December 2015 through 30 June 2018. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at www.denali.gov.

More detailed information on scope, deliverables, budget, funding, project delivery method and/or management plan, and schedule are included in Attachment A dated 10 December 2015.

2. Project Reporting and Commission Site Visits

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions.

The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at www.denali.gov/dcpdb.

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

3. Payments

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

4. Modifications

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions to the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

5. Financial Management, Record Keeping, Internal Controls, and Audits

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

6. Direct and Indirect Costs

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

7. Sub-Awards and Contracts

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

8. Acknowledgement of Support

The Recipients shall display a sign that acknowledges the Government's support for all construction projects funded in whole or in part via this award. The Recipient shall name the Denali Commission as a financial contributor and project/program partner in all media correspondence related to all work supported by this award. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

9. Real and Personal Property

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

10. Conflict of Interest

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

11. Denali Commission Policies

Recipients may be required to comply with certain published Denali Commission policies. Any such requirements under this FAA will be stipulated in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information on individual policies.

12. Laws and Regulations

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

13. Other Provisions

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.

- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

14. Special Provisions

Progress Reports: Semi-annually
Pre-award Costs: Not authorized
Key Staff: William Schnabel
Advance Payments: Not authorized
Federal Property Interests and Reporting Requirements: N/A
Denali Commission Policies: N/A
Bonds and Insurance: N/A

15. Program Manager, Financial Manager & Other Contact Information

Denali Commission	UAF - INE
Chris Allard Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1414 Fax: 907-271-1415 E-mail: callard@denali.gov	William Schnabel Interim Director 539 Duckering Building 306 Tanana Loop Fairbanks, AK 99775 Phone: 907-474-5457 Fax: 907-474-7041 Email: weschnabel@alaska.edu
Nicole Woicikowski Acting Grants Administrator 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1414 Fax: 907-271-1415 E-mail: nwoicikowski@denali.gov	Crystal Mailloux Grant Manager 539 Duckering Building 306 Tanana Loop Fairbanks, AK 99775 Phone: 907-474-1929 Fax: 907- 474-7041 Email: cmmailloux@alaska.edu

Attachment A
Financial Assistance Award Terms and Conditions
Between the Denali Commission and UAF/INE
For ETC Support

- a. Scope of Work: Provide technical expertise as requested on specific tasks in support of the Environmentally Threatened Community Initiative. The expertise may include, but is not limited to, arctic and climate change research, geophysics and geology, engineering, and cultural studies. The Commission will provide separate scopes of work for specific tasks assigned under this FAA. These scopes of work may identify specific University staff and resources to be used for specific tasks.
- b. Deliverables: Research, attendance at meetings, and reports. The Denali Commission Program Manager will identify specific deliverables for specific tasks assigned under this FAA.
- c. Budget: The Commission is making an initial \$50,000 available to INE via this Financial Assistance Award (FAA). The overall budget may be increased via an FAA Amendment by mutual agreement between the Commission and INE. The University of Alaska's current Indirect Cost Rate of 50.5% approved by the Office of Naval Research may be applied to direct costs incurred under this FAA.

INE shall submit detailed budget estimates for each specific task/scope of work assigned under this FAA, for review and approval by the Commission prior to the start of work on a specific task. The budget estimate shall include staff, rates, hours, indirect costs and other direct costs such as travel and per diem.

- d. Delivery Method: The Institute of Northern Engineering (INE) will use in-house resources at INE and/or staff at other University of Alaska Centers, Institutes and Departments to complete the tasks assigned under this FAA. INE may also use outside organizations and/or consultants as necessary, with prior approval by the Commission.

INE agrees to cooperate and collaborate with the Denali Commission during the project, and understands that the Commission will be substantially involved in implementing the project or components of the project. For example, Commission staff may be involved in work group meetings and/or the review of draft work products produced for specific tasks. The Commission's substantial involvement under this award shall cease when the Award is closed.

- e. Schedule: The initial overall performance period for this award is 19 months, with an end date of 30 June 2018. Specific schedules will be established along with specific scopes of work for specific tasks assigned under this FAA. The overall performance period may be increased via an FAA Amendment by mutual agreement between the Commission and INE.